



Business Intelligence Excel Add-In

White Paper

Eligibility of Saberin Data Platform for Soft Dollar Payments under Section 28(e).

Introduction:

The SaberIn Data Platform, inclusive of its dedicated Excel Add-In “Concerto”, is architected to primarily bolster research and investment decisions. This document elucidates its potential alignment with Section 28(e) provisions for soft dollar payments, contingent on specific user application.

Key Features Aligned with Section 28(e):

Exclusive Research Tool:

- The platform is primed for research-centric functionalities. When utilized within this framework, it aligns strongly with the soft dollar compensation criteria as delineated in Section 28(e).

Direct Contribution to Investment Decisions:

- When appropriately applied, our tool can play a pivotal role in assisting analysts to craft research pivotal to investment decisions, highlighting its potential value in the decision-making process.

Enhancement of Internal Research:

- While adept at data aggregation, its primary potential is realized when enabling researchers to refine and personalize their internal research processes.

Custom Tailored Solutions:

- We offer customization capabilities. When capitalized on, hedge funds can harness tools finely tuned to their unique investment strategies.

Competitive Pricing:

- Our pricing model, set at \$3k/month, strives to deliver an optimal blend of affordability and quality, emphasizing our commitment to stakeholder value.

Key Features Cont'd.:

Seamless Integration:

- Designed with a potential for comprehensive data source integration, the platform, when fully utilized, can guarantee an amalgamated viewpoint, critical for insightful investment decisions.

Tangible Benefits:

- For emerging funds, time is premium. Our product, when effectively utilized, can enhance research efficiency and streamline intricate tasks.

Client Feedback:

- Based on utilization, clients have observed notable enhancements in simplifying intricate Excel tasks, translating to a rejuvenated research framework.

Dependencies and Integration:

- The strategic integration with industry-staple MS Excel and robust platforms like Azure and AWS, amplifies its potential usability and efficacy, contingent on its alignment with research-focused applications.

Documentation:

- We provide comprehensive documentation that underscores potential applications of the platform in the investment process. Client experiences further echo its potential transformative influence on research methodologies, based on usage.

Dependencies Clarified:

Microsoft Excel:

- Given the predominant role of Excel in finance, our integration is both logical and strategic. This association, when harnessed for research, enhances the argument for soft dollar eligibility.

Azure and AWS:

- Leveraging renowned cloud platforms underscores our commitment to offer robust, scalable solutions. Their utilization, in tandem with research-focused applications, accentuates the tool's potential.

Conclusion:

When applied within the prescribed research-centric framework, the SaberIn Data Platform aligns with Section 28(e) criteria. Its core design—to potentially bolster the investment decision-making process—paves the way for its eligibility for soft dollar payments, contingent on user application. We encourage open dialogues on any aspects discussed above and advocate for due diligence, including consultations with legal counsel, to ensure holistic compliance.

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Should you require additional information or further clarifications, please do not hesitate to contact us.